



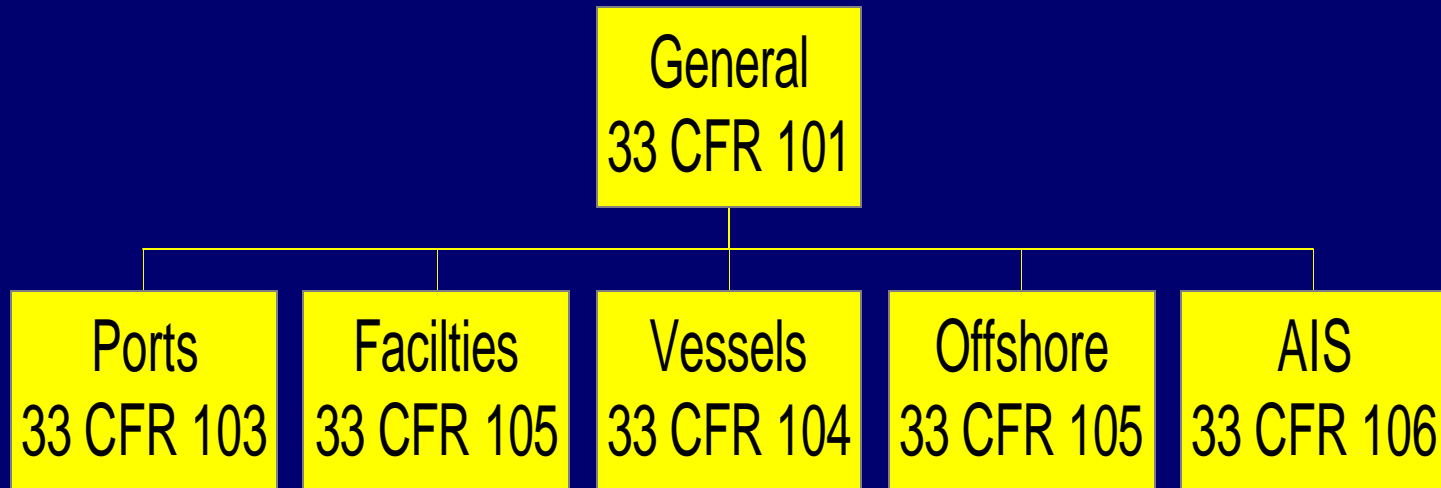
U. S. Coast Guard

***Maritime Transportation
Security Act
Temporary Interim Rules***





Maritime Security Regulations



<http://www.uscg.mil/d1/units/msoprov/>



U.S. Coast Guard



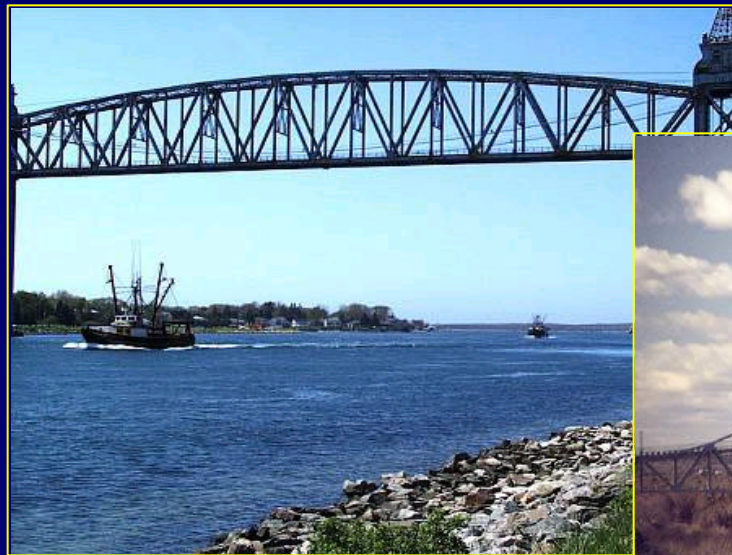
33 CFR 101 - Definitions

- **Alternative Security Program**
- Area Commander
- **Area Maritime Security Assessment**
- **Area Maritime Security Committee**
- **Area Maritime Security Plan**
- Area of Responsibility
- Audit
- Barge
- Barge fleetling Facility
- Bulk or in Bulk
- Bunkers
- Captain of the Port (COTP)
- Cargo
- Certain Dangerous Cargo
- Commandant
- Company
- **Company Security Officer**
- Contracting Government
- **Cruise Ship**
- **Dangerous Substance or devices**
- **Declaration of Security (DOS)**
- District Commander
- Drill
- Exercise
- **Facility**
- **Facility Security Assessment**
- **Facility Security Officer**
- **Facility Security Plan**
- Ferry
- Foreign Vessel
- Gross Registered Tons (GRT)
- Gross tonnage
- Hazardous Materials
- **Infrastructure**
- **International Voyage**
- ISPS Code
- **Maritime Security Directive**
- **Maritime security Level 1,2, 3**
- Master
- OCS Facility
- Operator, Uninspected Towing Vessel
- Owner or operator
- Vessel
- **Passenger Vessel**
- Passenger-for-hire
- Registered length
- **Restricted area**
- Review approval
- **Screening**
- **Security Sweep**
- Security System
- **Sensitive Security Information**
- SOLAS
- Survey
- **Transportation Security Incident**
- Unaccompanied baggage
- **Vessel-to-facility interface**
- **Vessel-to-port interface**
- **Vessel Security Assessment (VSA)**
- **Vessel Security Plan**
- **Vessel Security Officer**
- Vessel stores
- **Vessel-to-vessel activity**
- Water subject to the jurisdiction of the US



33 CFR 101 - Applicability

- Vessels, structures, and facilities of any kind located under, in, on or adjacent to water subject to the jurisdiction of the U.S.





33 CFR 101 - Applicability

- Incorporated by reference:
 - International Maritime Organization (ISPS Code Part A and Part B)
 - July 1, 2004





33 CFR 101 - Alternatives

- Alternative Security Agreements
- Alternative Security Programs
- Approval of Alternate Security Programs





33 CFR 101 – MARSEC Levels

Maritime Security



Level 3

Level 2

Level 1

33 CFR 101 - Communications



- Preparedness Communications
 - Notification of MARSEC Level
 - Communication of Threats
 - Attainment

AMS
Committee



Federal
State
Local
Facility
Vessel



33 CFR 101 - Communications



➤ Reporting

- Notification of Suspicious Activity
- Notification of Breaches of Security
- Notification of Transportation Security Incident

Facility
Vessel
Local
State
Federal



COTP





33 CFR 101 - Communications

- Additional Communication Devices
 - Alert Systems
 - Automated Identification Systems (AIS)





33 CFR 101 – Control Measures for Security

- Enforcement
- Maritime Security (MARSEC) Directives
- Control and Compliance Measures
- Penalties
- Right to Appeal

COTP



Facility
Vessel
Federal
State
Local



33 CFR 101 – Other Provisions

- Declaration of Security (DoS)
 - Vessel – Facility Responsibilities
 - Vessel – Vessel Responsibilities
 - COTP - Critical Port Operations, Special Events, MARSEC Coordination
- Section 33 CFR 104/105
 - MARSEC 1
 - MARSEC 2/3
 - Continuing DoS



33 CFR 101 – Other Provisions

➤ Assessment Tools

➤ DHS/TSA Vulnerability Self-Assessment Tool
(<http://www.tsa.gov/risk>)

➤ USCG Assessment Tools
(<http://www.uscg.mil/hq/g-m/nvic>)





33 CFR 101 – Other Provisions

- Personal Identification
 - Laminated
 - Full Name
 - Up-to-Date Photo
 - Name of Issuing Authority (government, employer, union, trade association)



33 CFR 103 - Area Maritime Security

- General
- Federal Maritime Security Coordinator
- AMS Committee
- AMS Assessment





33 CFR 103 - Area Maritime Security

- AMS Plan
 - Organization
 - Exercises, Audits, Review
 - Response Procedures (Jurisdiction, Use of Force)
 - Communication
 - Operational and Physical Controls
 - Evacuation Procedures
 - Special Events
 - Security Resources
 - Recovery



33 CFR 103 - Area Maritime Security

- Exercises
- Recordkeeping





33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Barge & Tug / Terminal



Oil Facility / US Flag Vessel



Ferry Vessel / Terminal



Non-Haz Terminal / Foreign Flag Vessel





33 CFR 104/105 - General Requirements

Oil Barge & Tug / Terminal



- Applicability
- Exemptions
- Compliance Dates
- Compliance Documentation
- Noncompliance
- Waivers
- Equivalents
- Alternative Security Programs
- MARSEC Directives
- Right to Appeal





33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Barge & Tug / Terminal



- Owner / Operator
- Master
- Company Security Officer
- Facility Security Officer
- Vessel Security Officer
- Company, Vessel, or Facility Personnel with Security Duties
- Security Training





33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Barge & Tug / Terminal



- Drill and Exercise Requirements
- Vessel Facility Recordkeeping Requirements
- MARSEC Coordination and Implementation
- Communications
- Procedures for Interfacing with Vessels
- Declaration Of Security (DOS)





33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Barge & Tug / Terminal



- Security Systems and Maintenance
- Security Measures for :
 - Access Control
 - Restricted Areas
 - Handling Cargo
 - Delivery of Vessel Stores and Bunkers
 - Monitoring





33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Barge & Tug / Terminal



- Security Incident Procedures
- Additional requirements for Certain Dangerous Cargoes
- Additional Requirements for Barge Fleeting Facilities





33 CFR 104/105 - General Requirements

Ferry Vessel / Terminal



- Applicability
- Public Access Areas
- Exemptions
- Compliance Dates
- Compliance Documentation
- Noncompliance
- Waivers
- Equivalents
- Alternative Security Programs
- MARSEC Directives
- Right to Appeal





33 CFR 104/105 - Vessel/Facility Security Requirements

Ferry Vessel / Terminal



- Owner / Operator
- Master
- Company Security Officer
- Facility Security Officer
- Vessel Security Officer
- Company, Vessel, or Facility Personnel with Security Duties
- Security Training





33 CFR 104/105 - Vessel/Facility Security Requirements

Ferry Vessel / Terminal



- Drill and Exercise Requirements
- Vessel Facility Recordkeeping Requirements
- MARSEC Coordination and Implementation
- Communications
- Procedures for Interfacing with Vessels
- Declaration Of Security (DOS)





33 CFR 104/105 - Vessel/Facility Security Requirements

Ferry Vessel / Terminal



- Security Systems and Maintenance
- Security Measures for :
 - Access Control
 - Restricted Areas
 - Handling Cargo
 - Delivery of Vessel Stores and Bunkers
 - Monitoring





33 CFR 104/105 - Vessel/Facility Security Requirements

Ferry Vessel / Terminal



- Security Incident Procedures
- Additional Requirements
Passenger Vessels, Ferries
and Facilities





33 CFR 104/105 - General Requirements

Oil Facility / US Flag Vessel



- Applicability
- Exemptions
- Compliance Dates
- Compliance Documentation
- Noncompliance
- Waivers
- Equivalents
- Alternative Security Programs
- MARSEC Directives
- Right to Appeal





33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Facility / US Flag Vessel



- Owner / Operator
- Master
- Company Security Officer
- Facility Security Officer
- Vessel Security Officer
- Company, Vessel, or Facility Personnel with Security Duties
- Security Training



33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Facility / US Flag Vessel



- Drill and Exercise Requirements
- Vessel Facility Recordkeeping Requirements
- MARSEC Coordination and Implementation
- Communications
- Procedures for Interfacing with Vessels
- Declaration Of Security (DOS)



33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Facility / US Flag Vessel



- Security Systems and Maintenance
- Security Measures for :
 - Access Control
 - Restricted Areas
 - Handling Cargo
 - Delivery of Vessel Stores and Bunkers
 - Monitoring



33 CFR 104/105 - Vessel/Facility Security Requirements

Oil Facility / US Flag Vessel



- Security Incident Procedures
- Additional requirements for Certain Dangerous Cargoes
- Additional Requirements for Barge Fleeting Facilities





33 CFR 104/105 - General Requirements

Non-Haz Terminal / Foreign Flag Vessel



- Applicability
- Exemptions
- Compliance Dates
- Compliance Documentation
- Noncompliance
- Waivers
- Equivalents
- Alternative Security Programs
- MARSEC Directives
- Right to Appeal





33 CFR 104/105 - Vessel/Facility Security Requirements

Non-Haz Terminal /
Foreign Flag Vessel



- Owner / Operator
- Master
- Company Security Officer
- Facility Security Officer
- Vessel Security Officer
- Company, Vessel, or Facility Personnel with Security Duties
- Security Training





33 CFR 104/105 - Vessel/Facility Security Requirements

Non-Haz Terminal /
Foreign Flag Vessel



- Drill and Exercise Requirements
- Vessel Facility Recordkeeping Requirements
- MARSEC Coordination and Implementation
- Communications
- Procedures for Interfacing with Vessels
- Declaration Of Security (DOS)





33 CFR 104/105 - Vessel/Facility Security Requirements

Non-Haz Terminal /
Foreign Flag Vessel



- Security Systems and Maintenance
- Security Measures for :
 - Access Control
 - Restricted Areas
 - Handling Cargo
 - Delivery of Vessel Stores and Bunkers
 - Monitoring



33 CFR 104/105 - Vessel/Facility Security Requirements

Non-Haz Terminal /
Foreign Flag Vessel



- Security Incident Procedures
- Additional Requirements
Passenger Vessels, Ferries
and Facilities





33 CFR 104/105 - Vessel/Facility Security Assessment

Non-Haz Terminal / Foreign Flag Vessel



Oil Facility / US Flag Vessel



Oil Barge & Tug / Terminal



Ferry Vessel / Terminal





33 CFR 104/105 - Vessel/Facility Security Plan

Non-Haz Terminal / Foreign Flag Vessel



Oil Facility / US Flag Vessel



Oil Barge & Tug / Terminal



Ferry Vessel / Terminal





KEY DATES

- October 24, 2003 Final Rule published
- November 24, 2003 Final Rule takes effect
- **December 29, 2003** Security Plans due for approval
 - Vessels – Marine Safety Center
 - Facilities – MSO Providence
- **July 1, 2004** Requirements will be enforced in the U. S. (Vessels, Ports, and Facilities must be in compliance)



Automated Identification Systems (AIS)

- Vessels on International Voyage subject to SOLAS
- Vessels >65 ft not subject to SOLAS or transiting a VTS area (December 31, 2004)





Automated Identification Systems (AIS)

- Vessel Traffic System or Vessel Movement Reporting System Users
 - Self Propelled >65ft, In commercial Service
 - Towing vessel >26ft or >600HP
 - Vessels >100GT, carrying >1 passenger for hire
 - Passenger vessels certificated >50
- Various Implementation Dates



Area Maritime Security Committee Discussion



U.S. Coast Guard



33 CFR 103 – AMS Committee

- Assist with development review and update of AMS Plan.
- Charter
 - Purpose & Geographic Area of Responsibility
 - Rules for Membership
 - Organizational Structure & Procedural Rules of Order
 - Frequency of meetings (not less than yearly)
 - Guidelines for Public Access
 - Rules for handling information



33 CFR 103 – AMS Committee

- Recommended Member Composition
 - Federal, Territorial, or Tribal government;
 - State government and political subdivisions thereof;
 - Local public safety, crisis management and emergency response agencies;
 - Law enforcement and security organizations;
 - Maritime industry;
 - Other port stakeholders having a special competence in maritime security; and
 - Port stakeholders affected by security practices and policies.



33 CFR 103 – AMS Committee

- Area Maritime Security Composition (con't)
 - (>7 members, at least 5 years experience)
 - Each member serves not more than 5 years





Rhode Island and Southeastern Massachusetts Area Proposed Executive Maritime Security Committee

Chair of Committee
Federal Maritime Security Coordinator
(COTP Providence)

RHODE ISLAND

- Government/Political
- Local Public safety/ EMS / LE
- Maritime Industry
- Maritime Stakeholders

FEDERAL

- FEMA
- FBI
- US Customs

SE MASSACHUSETTS

- Government/Political
- Local Public safety/ EMS / LE
- Maritime Industry
- Maritime Stakeholders

GEOGRAPHIC SUBCOMMITTEES

Providence
Neport
Quoset/Davisville
Point Judith Block Island

GEOGRAPHIC SUBCOMMITTEES

New Bedford
Fall River
Cape Cod Canal
Cape & Islands



33 CFR 103 – AMS Committee

➤ Responsibilities

- Identify Critical Port Infrastructure and Operations
- Identify risks, determine mitigation strategies and implementation methods
- Continuous Improvement
- Serve as a link to communicating threats and changes to MARSEC levels, and disseminating information.

